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PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office		Application Number	09/754,650
			Filing Date	January 3, 2001
			First Named Inventor	Eric E. Del Sesto
			Group Art Unit Number	2152
			Examiner Name	Not yet known
Total Number of Pages in This Submission		6*	Attorney Docket Number	19502-04558

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

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APPLICANTS: Eric E. Del Sesto, Charles McCullough, Christopher Michel and Timothy V. Travaille
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APPLICATION NO.: 09/754,650

FILING DATE: January 3, 2001

TITLE: Ensuring Reliable Delivery Of Interactive Content

EXAMINER: Not yet known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 19502-04558

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WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
Eric E. Del Sesto, Charles McCullough, Christopher
Michel and Timothy V. Travaille

Dated: 6/13/02

By: Jeffrey Brill

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Sheet 1 of 2

FORM PTO-1449

(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Attorney's Docket No.

19502-04558

Serial No.

09/754,650

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

JUN 17 2002

Applicant

Eric E. Del Sesto et al.

Filing Date

January 3, 2001

Group Art Unit

2152

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	A	US 6,295,647 B1	09/25/01	Ramaswamy	725	116	10/08/98
	B	6,006,256	12/21/99	Zdepski et al.	709	21	
	C	5,937,331	08/10/99	Kalluri et al.	455	6.4	
	D	5,880,720	03/09/99	Iwafune et al.	345	37	
	E	5,848,352	12/08/98	Dougherty et al.	455	5.1	
	F	5,835,717	11/10/98	Karlton et al.	395	200.47	
	G	5,826,166	10/20/98	Brooks et al.	455	5.1	
	H	5,818,440	10/06/98	Allibhoy et al.	345	327	
	I	5,819,034	10/06/98	Joseph et al.	395	200.31	
	J	5,781,228	07/14/98	Sposato	348	13	
	K	5,729,279	03/17/98	Fuller	348	8	
	L	5,689,799	11/18/97	Dougherty et al.	455	2	
	M	5,675,373	10/07/97	Joiner et al.	348	13	
	N	5,652,615	07/29/97	Bryant et al.	348	9	
	O	5,585,858	12/17/96	Harper et al.	348	485	
	P	5,586,264	12/17/96	Belknap et al.	395	200.08	
	Q	5,583,563	12/10/96	Wanderscheid et al.	348	13	
	R	5,563,648	10/08/96	Menand et al.	348	13	
	S	5,557,317	09/17/96	Nishio et al.	348	7	
	T	5,539,920	07/23/96	Menand et al.	455	5.1	
	U	5,504,896	04/02/96	Schell et al.	395	650	
	V	5,44,568	09/05/95	Delpuch et al.	372	94.2	
	W	5,400,402	03/21/95	Garfinkle	380	20	
	X	5,343,239	08/30/94	Lappington et al.	348	12	
	Y	5,334,975	08/02/94	Wachob et al.	340	825.21	
	Z	5,307,173	04/26/94	Yuen et al.	358	335	

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Sheet 2 of 2

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
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Serial No.

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(Use several sheets if necessary)

Applicant

Eric E. Del Sesto et al.

Filing Date

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Group Art Unit

2152

U.S. PATENT DOCUMENTS CONTINUED

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	4,751,578	06/14/88	Reiter et al.	358	183	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
BB	EP 0 942 595 A2	03/09/99	EP	H04N	5/00	Yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CC	Advanced Television Enhancement Forum Specification (ATVEF), Version 1.1r26, February 2, 1999, pp 1-37
DD	Pending United States patent application, "Automated Retirement of Interactive Applications Using Retirement Instructions for Events and Program States," Serial Number 09/334,131, Filing date June 15, 1999
EE	Statement from first named inventor concerning Cited Reference DD
FF	Wink Communications, Technical Specification, Subject: 5.2.17 ServerInfo Define, December 31, 1995
GG	Pending United States patent application, "Automated Control Of Interactive Application Execution Using Defined Time Periods," Serial Number 09/431,001, Filing date November 1, 1999
HH	Pending United States patent application, "Automatic Control Of Broadcast And Execution On Interactive Applications To Maintain Synchronous Operation With Broadcast Programs" Serial Number 09/333,724, Filing date June 15, 1999

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.